

06/24/03

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PTO/SB/05 (03-01)

CERTIFICATE OF MAILING OR TRANSMISSION

U.S. I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (label number EV178872462US) in an envelope addressed to: Mail Stop: New Application, Commissioner For Patents P.O. Box 1450, Alexandria VA 22313-1450 on under the provisions of 37 CFR §1.10.

Mailer's Name (Print/Type) Lee Ann DiLello Signature  Date 6/24/2003

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.	ATMI-643
		First Inventor	Michael B. Korzenski
		Title	Compositions and Methods for High-Efficiency Cleaning Semiconductor Wafers
APPLICATION ELEMENTS <small>(Only for new non-provisional applications under 37 CFR 1.53(b))</small>		ADDRESS TO: Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 12] <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none"> - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2]</p> <p>5. Oath or Declaration [Total Sheets 1]</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p>			

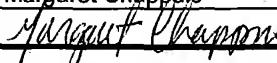
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	25559 <small>(Insert Customer No. or Attach bar code label here)</small>		or	<input type="checkbox"/> Correspondence address below		
Name	ADVANCED TECHNOLOGY MATERIALS, INC.					
Address	7 Commerce Drive					
City	Danbury	State	CT	Zip Code	06810	
Country	USA	Telephone	(203) 794-1100		Fax	(203) 797-2544
Name (Print/type)	Margaret Chappuis		Registration No. (Attorney/Agent)	45,735		
Signature			Date	6/24/2003		

1734 U.S. 06/24/03

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FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 2,118)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Michael B. Korzenski
Examiner Name	
Art Unit	
Attorney Docket No.	ATMI-643

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number: 50-0860
Deposit Account Name: Advanced Technology Mater

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	2001	375 Utility filing fee	
1002	2002	165 Design filing fee	
1003	2003	260 Plant filing fee	
1004	2004	375 Reissue filing fee	
1005	2005	80 Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
96	2		76	x 18	= 1368
			0	x	=
					=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	2202	18 9 Claims in excess of 20
1201	2201	84 42 Independent claims in excess of 3
1203	2203	280 140 Multiple dependent claim, if not paid
1204	2204	84 42 ** Reissue independent claims over original patent
1205	2205	18 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		2,118

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051	2051	130 65 Surcharge - late filing fee or oath	
1052	2052	50 25 Surcharge - late provisional filing fee or cover sheet	
1053	1053	130 Non-English specification	
1812	1812	2,520 2,520 For filing a request for ex parte reexamination	
1804	1804	920* 920* Requesting publication of SIR prior to Examiner action	
1805	1805	1,840* 1,840* Requesting publication of SIR after Examiner action	
1251	2251	110 55 Extension for reply within first month	
1252	2252	410 205 Extension for reply within second month	
1253	2253	930 465 Extension for reply within third month	
1254	2254	1,450 725 Extension for reply within fourth month	
1255	2255	1,970 985 Extension for reply within fifth month	
1401	2401	320 160 Notice of Appeal	
1402	2402	320 160 Filing a brief in support of an appeal	
1403	2403	280 140 Request for oral hearing	
1451	1451	1,510 1,510 Petition to institute a public use proceeding	
1452	2452	110 55 Petition to revive - unavoidable	
1453	2453	1,300 650 Petition to revive - unintentional	
1501	2501	1,300 650 Utility issue fee (or reissue)	
1502	2502	470 235 Design issue fee	
1503	2503	630 315 Plant issue fee	
1460	1460	130 130 Petitions to the Commissioner	
1807	1807	50 50 Processing fee under 37 CFR 1.17(q)	
1806	1806	180 180 Submission of Information Disclosure Stmt	
8021	8021	40 40 Recording each patent assignment per property (times number of properties)	
1809	2809	750 375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	750 375 For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	750 375 Request for Continued Examination (RCE)	
1802	1802	900 900 Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY				(Complete if applicable)	
Name (Print/Type)	Margaret Chappuis	Registration No. (Attorney/Agent)	45,735	Telephone	203-794-1100
Signature	<i>Margaret Chappuis</i>			Date	6/24/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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